

2172

Petitioner's Docket No. 2386/102

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Bruce A. Epstein

Application No.: 09/921,986

Group No.: 2172

Date Filed: 08/03/2001

Examiner: Truong, C.

For: Information Collaboration and Reliability Assessment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

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Technology Center 2100

## AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

## STATUS

2. Applicant is a small entity. A statement will be furnished upon request.

## EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

## FEE FOR CLAIMS

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**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***

(When using *Express Mail*, the *Express Mail* label number is *mandatory*;  
*Express Mail* certification is *optional*.)

I hereby certify that, on the date shown below, this correspondence is being:

## MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)

☒ with sufficient postage as first class mail.

37 C.F.R. § 1.10\*

☐ as "Express Mail Post Office to Addressee"

Mailing Label No. \_\_\_\_\_ (mandatory)

## TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, (703) \_\_\_\_\_

  
Signature

Date: March 23, 2004

Jeffrey T. Klayman

\_\_\_\_\_  
(type or print name of person certifying)

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY				
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA					
				RATE	ADDIT. FEE			
TOTAL	20	— 20	= 0	x \$ 9.00	=	\$	0.00	
INDEP.	1	— 3	= 0	x \$ 43.00	=	\$	0.00	
				***Multi dependent claim				
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				+ \$ CO***	=	\$	0.00	
				TOTAL ADDIT. FEE		\$	0.00	

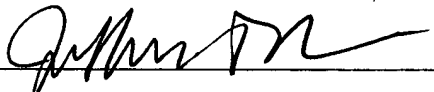
No additional fee for claims is required.

#### FEE DEFICIENCY

5. If an additional extension and/or fee is required, charge Account No. 19-4972.

If an additional fee for claims is required, charge Account No. 19-4972.

Date: March 23, 2004

  
\_\_\_\_\_  
Jeffrey T. Klayman  
Registration No. 39,250  
Bromberg & Sunstein LLP  
125 Summer Street  
Boston, MA 02110-1618  
US  
617-443-9292  
Customer No. 002101



JTK/vcl

**Please Date Stamp and Return**

The Commissioner for Patents has received from Bromberg & Sunstein LLP the following re:

Inventor: Bruce A. Epstein  
Title: Information Collaboration and  
Reliability Assessment

Docket No.: 2386/102  
Art Unit: 2171  
Examiner: Truong, C.  
Date: March 23, 2004  
Express Mail No.:

Serial/Patent No.: 09/921,986  
Filing/Issue Date: August 3, 2001

**Documents:**

- ☐ New Application Transmittal
- ☐ Provisional Application Cover Sheet
- ☐ Description- pages
- ☐ Claims- pages
- ☐ Abstract
- ☐ Application Data Sheet
- ☐ Request and Certification under 35 USC 122(b)(2)(B)(i)  
sheets of drawings
  - ☐ formal ☐ informal
- ☐ Declaration & Power of Attorney
  - ☐ executed ☐ unexecuted
- ☐
- ☐

- ☒ Amendment Transmittal
- ☐ Amendment (Preliminary)
- ☐ Response
- ☐ IDS & References
- ☐ Petition for month extension
- ☐ Issue Fee Transmittal & Form PTOL-85b
- ☐ Payment of Maintenance Fee
- ☐ Assignment/Recordation Form Cover Sheet
- ☐ Check in the amount of \$
- ☐ Completion of Filing Requirements
- ☐ Transmittal of Formal Drawings
- ☒ Amendment
- ☐